AP3 Rec'd PCT/PTU 05 MAY 2006

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US)
Under 35 USC 371

Attorney Docket No: CHANZ1.001APC First Named Inventor: Tang, Y.H. et al.

Int'l Application No.: PCT/CN05/000630578450
Int'l Filing Date: May 8, 2005 10, 578450

Priority Date Claimed: May 11, 2004

Title: METHOD FOR PREPARING SELF-ASSEMBLED SILICON NANOTUBES BY HYDROTHERMAL

METHOD

Express Mail Label No.: EV 766 657 321 US

Direct all correspondence to Customer No.: 20995

Date: May 5, 2006

Page 1 of 3

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United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Yuanhong Tang.

2. Lizhai Pei

3. Yangwen Chen

4. Chi Guo

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) This is an Express Request to begin national examination procedures (35 U.S.C. § 371(f)).
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An English translation of claim amendments under PCT Article 19 (35 U.S.C. § 371(c)(3)).
- (X) Declaration and Power of Attorney for Patent Application in 3 pages.

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in 6 pages with Substitute Specification (Clean version in 9 pages and marked up version in 14 pages.
- (X) Return prepaid postcard.

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) Under 35 USC 371 Attorney Docket No.: CHANZI.001APC

First Named Inventor: Tang, Y.H. et al. Int'l Application No. 15 UCN05/00060 05 MAY 2006

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FILING FEES:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

	FEE CALCUL	ATION				·
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION		TOTAL	
Basic Filing Fee under 1.492(a)		2631 (\$150)				\$150
Search Fee under 1.492(b) (e	enter one in TOTAL column)					
(1) USPTO was ISA/IPEA	2640 (\$0)]				
(2) USPTO was the ISA (no	2641 (\$50)				\$200	
(3) Foreign Search Report I	2642 (\$200)					
(4) No Search Report Enclo	2632 (\$250)					
Examination Fee under 1.492(c) (enter one in TOTAL column)						
(1) USPTO was ISA/IPEA	2643 (\$0)				\$100	
(2) Non US IPEA or Nonco	2633 (\$100)					
Excess Claims	13 - 20 = 0	2615 (\$25)	0	X	25 =	\$0
Excess Ind. Claims	2 - 3 = 0	2614 (\$100)	0	Х	100 =	\$0
Multiple Dep. Claim		2616 (\$180)				\$0
Application Size Fee	12 - 100 = 0	2681 (\$125)	0	x	125 =	\$0
Late English Translation		1618 (\$)				
Assignment		8021 (\$40)	*	х	40 =	\$0
<u> </u>				TOTAL FEE DUE		\$450
TOTAL FEE PAID (If Different Than Fee Due)						\$450

(X) A check in the amount of \$450 to cover the Total Fee Due is enclosed.

10/578450

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) **Under 35 USC 371**

Attorney Docket No.: CHANZ1.001APC First Named Inventor: Tang, Y.H. et al. Int'l Application No.: PCT/CN05/000630

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The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Sabing H. Lee

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Sabing H. Lee

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

:

Attorney Docket No.

CHANZ1.001APC

Applicant(s)

Tang, Y.H. et al.

For

PREPARATION

OF SELF-ASSEMBLED

SILICON

NANOTUBES

BY

HYDROTHERMAL METHOD

Attorney

: Sabing H. Lee

"Express Mail" Label No. :

EV 766 657 321 US

Date of Deposit

May 5, 2006

I hereby certify that the accompanying Transmittal letter; specification in 9 pages; 3 sheets of drawings; an English translation of the International Application as filed is attached hereto; Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.; an English translation of claim amendments under PCT Article 19 (35 U.S.C. § 371(c)(3)); Preliminary Amendment in 6 pages with Substitute Specification (clean version in 9 pages and marked up version in 14 pages); Declaration and Power of Attorney for Patent Application in 3 pages; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NELSON MERIDA

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